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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/930,329

Filing Date

August 14, 2001

First Named Inventor

Thomas H. Turpen

Group Art Unit

1653

Examiner Name

Samuel Liu

Total Number of Pages in This Submission

Attorney Docket Number

60-003703US

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter

☒ Additional Enclosure(s) (please identify below):

Communication form, PTO-1449 form, Copy of Cited References and receipt acknowledgment postcard

### Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Angela P. Horne, Ph.D., Reg. No. 41,079, Quine Intellectual Property Law Group, P.C.

Signature

Angela P. Horne, Ph.D.

Date

January 22, 2004

## CERTIFICATE OF MAILING

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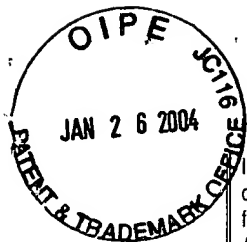
Evelyn Gomez

Signature

Evelyn Gomez

Date

January 22, 2004



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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

Evelyn Gomez

Attorney Docket No. 60-003703US  
Client Ref. No. 0103.00DVUS02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas H. Turpen, et al.

Application No.: 09/930,329

Filed: August 14, 2001

For: VIRAL AMPLIFICATION OF  
RECOMBINANT MESSENGER RNA  
IN TRANSGENIC PLANTS

Examiner: Samuel Liu

Art Unit: 1633

Communication

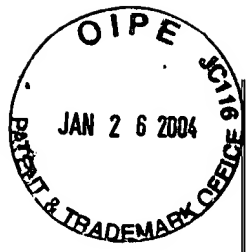
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Alexandria, VA 22313-1450

Sir:

As requested by the Examiner, encloses are copies of the references cited on the PTO-1449 form originally submitted on July 8, 2002 in the above cited application. A fresh copy of the Form 1449 is also provided for the Examiner's convenience.

It is respectfully requested that the cited information in the Form 1449 form(s) be expressly considered during the prosecution of this application, and that references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.



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Application No.: 09/930,329  
Page 2

Since this IDS was filed prior to the mailing date of the first Office Action (i.e., these are just copies of the references as requested by the Examiner, not a new IDS), **Applicants believe that no fee is due.** However, if there are any additional fees due in this application, please deduct from, or credit any overpayment to, Deposit Account No. 50-0893.

Respectfully submitted,

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**LIST OF REFERENCES CITED BY APPLICANT**  
(Use several sheets if necessary)

**PTO FORM 1449**

**COPY FROM PARENT**

ATTY. DOKK	APPLICATION NO.
00001-010-0501 60-003703US	09/414,916 09/930,329
APPLICANT <b>Thomas H. TURPEN</b>	
FILING DATE <b>October 8, 1999</b>	GROUP <b>1638-1633</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,965,794	10/1999	Turpen	800	288	

**FOREIGN PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	2.	7 195 191	3/12/92	AUSTRALIA				
	3.	0 067 553	12/22/82	EUROPE				
	4.	0 425 004	5/2/91	EUROPE				
	5.	0 194 809	9/17/86	EUROPE				
	6.	0 479 180	4/8/92	EUROPE				
	7.	0 573 767	12/1993	EUROPE				
	8.	0 278 667	8/17/88	EUROPE				
	9.	63-14693	1988	JAPAN				
	10.	91 13994	9/19/91	PCT				
	11.	90 12107	10/18/90	PCT				
	12.	89 08145	9/1989	PCT				

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(Including Author, Title, Date, Pertinent Pages, Etc.)

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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PTO FORM 1449

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ATTY. DOKT. NO.	APPLICATION NO.
00881-016-0501 60D-005703US	09/114,916 09/130,329
APPLICANT	
Thomas H. TURPEN	
FILING DATE	GROUP
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PTO FORM 1449

COPY FROM PARENT

ATTY. Docket No.

00801.010-0301

60-00370345

APPLICATION NO.

09/414,916

09/430,329

APPLICANT

Thomas H. TURPEN

FILING DATE

October 8, 1999

GROUP

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PTO FORM 1449

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ATTY. DOCKET NO.

APPLICATION NO.

00801.011 JS01

09/414,916

60-003703US

09/430,329

APPLICANT

Thomas H. TURPEN

FILING DATE

October 8, 1999

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